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# Single protein encapsulated SN38 for tumor-targeting treatment

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## Abstract

**Background** The alkaloid camptothecin analog SN38 is a potent antineoplastic agent, but cannot be used directly for clinical application due to its poor water solubility. Currently, the prodrug approach on SN38 has resulted in 3 FDA-approved cancer therapeutics, irinotecan, ONIVYDE, and Trodelvy. However, only 2–8% of irinotecan can be transformed enzymatically in vivo into the active metabolite SN38, which severely limits the drug's efficacy. While numerous drug delivery systems have been attempted to achieve effective SN38 delivery, none have produced drug products with antitumor efficacy better than irinotecan in clinical trials. Therefore, novel approaches are urgently needed for effectively delivering SN38 to cancer cells with better efficacy and lower toxicity.

**Methods** Based on the unique properties of human serum albumin (HSA), we have developed a novel single protein encapsulation (SPE) technology to formulate cancer therapeutics for improving their pharmacokinetics (PK) and anti-tumor efficacy and reducing their side effects. Previous application of SPE technology to doxorubicin (DOX) formulation has led to a promising drug candidate SPEDOX-6 (FDA IND #, 152154), which will undergo a human phase I clinical trial. Using the same SPE platform on SN38, we have now produced two SPESN38 complexes, SPESN38-5 and SPESN38-8. We conducted their pharmacological evaluations with respect to maximum tolerated dose, PK, and in vivo efficacy against colorectal cancer (CRC) and soft tissue sarcoma (STS) in mouse models.

**Results** The lyophilized SPESN38 complexes can dissolve in aqueous media to form clear and stable solutions. Maximum tolerated dose (MTD) of SPESN38-5 is 250 mg/kg by oral route (PO) and 55 mg/kg by intravenous route (IV) in CD-1 mice. SPESN38-8 has the MTD of 45 mg/kg by IV in the same mouse model. PK of SPESN38-5 by PO at 250 mg/kg gave mouse plasma  $AUC_{0-\infty}$  of 0.05 and 4.5 nmol  $\times$  h/mL for SN38 and SN38 glucuronidate (SN38G), respectively, with a surprisingly high molar ratio of SN38G:SN38 = 90:1. However, PK of SPESN38-5 by IV at 55 mg/kg yielded much higher mouse plasma  $AUC_{0-\infty}$  of 19 and 28 nmol  $\times$  h/mL for SN38 and SN38G, producing a much lower molar ratio of SN38G:SN38 = 1.5:1. Antitumor efficacy of SPESN38-5 and irinotecan (control) was evaluated against HCT-116 CRC xenograft tumors. The data indicates that SPESN38-5 by IV at 55 mg/kg is more effective in suppressing HCT-116 tumor growth with lower systemic toxicity compared to irinotecan at 50 mg/kg. Additionally, SPESN38-8 and DOX (control) by IV were evaluated in the SK-LMS-1 STS mouse model. The results show

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that SPESN38-8 at 33 mg/kg is highly effective for inhibiting SK-LMS-1 tumor growth with low toxicity, in contrast to DOX's insensitivity to SK-LMS-1 with high toxicity.

**Conclusion** SPESN38 complexes provide a water soluble SN38 formulation. SPESN38-5 and SPESN38-8 demonstrate better PK values, lower toxicity, and superior antitumor efficacy in mouse models, compared with irinotecan and DOX.

**Keywords** Single protein encapsulation, SN38, SPESN38-5, SPESN38-8, Colorectal cancer, Soft tissue sarcoma, Topoisomerase I inhibitor, FcRn

## Introduction

As a topoisomerase I (Top1) inhibitor, the alkaloid SN38 (7-ethyl-10-hydroxy camptothecin) is one of the most potent cytotoxic camptothecins (CPTs) against cancer cells [1, 2]. Although SN38 has great potential to treat many malignancies, such as colorectal, lung, gastric, and ovarian cancers, it cannot be used directly in clinical applications due to its poor water solubility and spontaneous hydrolytic instability of the lactone form (active form) to the carboxylate form (inactive form) [3, 4]. Various prodrug approaches have been developed to solve the poor solubility problem, leading to the successful development of 3 FDA-approved drugs, irinotecan (CPT-11, 10'-OH group on ring A is conjugated to a water-soluble moiety) [5, 6], ONIVYDE (nanoliposome irinotecan) [7], and Trodelvy (antibody drug conjugate, 20'-OH group on ring E is conjugated to a sacituzumab via an acid sensitive linker, targeting the Trop-2 receptor in cancer cells) [8, 9]. The CPT derivative irinotecan has been widely used since 1996 in advanced colorectal cancer (CRC) as a standard treatment agent in both monotherapy and combination therapy. However, only 2–8% of irinotecan [10–13] can be transformed in vivo into the active metabolite, SN38, and 55% of the drug is excreted as intact irinotecan in humans [14]. SN38 is 100–1000 times more cytotoxic than irinotecan [13, 15]. Therefore, irinotecan itself without SN38 transformation is inactive and has practically no therapeutic value. Irinotecan conversion into active SN38 in vivo is achieved by carboxylesterases in the liver [12, 16–20]. However, human liver carboxylesterase activity can vary widely among individual patients [21, 22], which can lead to patient-specific irinotecan PK [22] and antitumor efficacy. These intrinsic limitations of irinotecan significantly reduce its clinical potential [6].

To overcome these problems of using SN38 as an anticancer drug, numerous drug delivery systems, such as prodrugs, polymeric micelles, and liposome-based formulations, have been studied extensively [23]. These approaches can alter the properties of SN38, such as water solubility. The formulated SN38 has shown good efficacy against various tumors in preclinical research but showed disappointing results in the human clinical setting. SN38 liposome particles [24, 25], PEG-SN38 [26], and SN38 polymer micelle [27] did not present better

antitumor efficacy relative to irinotecan in human phase II trials. Problems associated with these drug delivery systems include low drug loading, poor tumor penetration, non-targeting effects, and unfavorable drug release. Therefore, new approaches are urgently needed to formulate SN38 for higher anti-cancer efficacy and lower toxicity.

It is well-documented that human serum albumin (HSA) is a desired drug delivery carrier [28–30] due to its unique properties, such as being endocytosed and transcytosed into and across the cell via receptors [29], long half-life of 19 days [31–34], able to accumulate at the tumor tissue due to the enhanced permeability & retention (EPR) effect; and being preferentially taken up and metabolized by cancer cells to serve as nutrients [35–39]. We previously developed the single protein encapsulation (SPE) technology to carry a predefined number of DOX (doxorubicin) molecules to form uniform HSA-DOX complexes (SPEDOXs) by an unmodified monomeric HSA molecule [34], thereby avoiding the issues associated with synthetic polymers, conjugated HSA, and HSA nanoparticles (NPs). In vivo studies with mice demonstrated better PK, lower toxicity, and superior tumor inhibitory activity of SPEDOXs compared with unformulated DOX [34]. Furthermore, our recent study demonstrated robust SPEDOX-6 uptake and efficacy in killing human cancer cells, while displaying low cytotoxicity to hiPSC-CMs (human induced pluripotent stem cell-derived cardiomyocytes) and hiPSC-CSs (multi-lineage cardiac spheroids) [40], indicating that the SPE technology may provide an excellent platform for cancer drug formation. The FDA has granted "Orphan Drug Designation" to SPEDOX-6 for treatment of soft tissue sarcoma (STS) patients. Phase Ib/IIa human clinical trials of SPEDOX-6 are being planned (IND# 152154).

In this study, by adopting the SPE technology, we have successfully encapsulated SN38 to create two SPESN38 complexes, SPESN38-5 (5 SN38 molecules per HSA) and SPESN38-8 (8 SN38 molecules per HSA as the maximum capacity). Preclinical evaluations using CRC and STS mouse models show that SPESN38 complexes have better PK values than those of irinotecan, resulting in 1.8-fold higher SN38  $AUC_{0-\infty}$ . SPESN38 also has a higher antitumor efficacy than that of irinotecan without increased

toxicity. These results demonstrate SPESN38 complexes as novel effective anticancer agents with great potential for clinical applications, thereby warranting further studies to develop them into cancer therapeutics.

## Material and methods

### Material and instruments

HSA (25% solution) and SN38 were purchased from Octapharma USA and GLPbio Technology, respectively. Methanol, ethanol, other chemicals and suppliers were purchased from VWR. UV spectrum measurement and quantitation were conducted on a UV-1600 PC spectrometer (VWR). Both SPESN38-5 and SPESN38-5 were prepared following similar protocols for making SPEDOX-6 [34].

### In vivo studies

All in vivo studies were performed at Roswell Park Comprehensive Cancer Center Animal Facility following the animal protocol approved by the Institutional Animal Care and Use Committee (IACUC). Male and female CD-1(ICR) mice (haired) (5 to 7 weeks old) were purchased from Charles River Lab. Severe combined immunodeficiency (SCID) mice (CB17SC, strain *C.B-Igh-1<sup>b</sup>/IcrTac-Prkdc<sup>scid</sup>*, 5 to 7 weeks old) were from Roswell internal breeding.

### MTD study

For SPESN38-5, the lyophilized yellowish powder was dissolved in DI water to form a clear SPESN38-5 solution with light yellowish color. In PO route, the SPESN38-5 solution was fed to mice (2 female mice/group) at doses of 300, 250 and 200 mg/kg on Day 1. For IV route, the SPESN38-5 solution was intravenously injected to mice at doses of 80 (2 female mice/group), 55 (2 female mice/group), 45 (2 female and 2 male mice/group) and 35 mg/kg (2 female and 2 male mice/group). The percent body weight change of all mice was recorded vs days. For SPESN38-8, the same procedures were used for preparation and the resulting SPESN38-8 solution was intravenously injected to mice at doses of 45 and 35 mg/kg (2 female and 2 male mice/group).

### PK study

After PO administration of SPESN38-5 at the dose of 250 mg/kg to six groups (3 female CD-1 mice/group), blood samples were collected into 1.5 mL Li-Heparin LH/1.3 tubes after anesthetizing mouse with CO<sub>2</sub> at the timepoints of 1, 2, 4, 8, 12, and 24 h (triplicate blood samples at each time point). Serum from each blood sample was obtained by 2,500 rpm centrifugation for 3 min, and the serum on the top layer was collected using pipet and transferred into 1.5 mL Eppendorf tubes

and then frozen immediately in liquid nitrogen until PK analysis. After IV administration of SPESN38-5 (tail vein injection) was performed at the dose of 55 mg/kg to six groups (3 female CD-1 mice/group), the same procedure was used for sample preparation.

Mouse plasma samples were analyzed for SN-38 and SN-38G by LC-MS/MS using a previously described method [41] over the calibration range of 0.200 to 200 ng/mL for each analyte. Briefly, an aliquot of plasma (100  $\mu$ L) was mixed with acidified methanol containing the internal standards [irinotecan-d<sub>10</sub> (Toronto Research Chemicals, Toronto, Canada) and camptothecin (Sigma-Aldrich, St. Louis, Missouri), respectively] for a protein precipitation extraction, followed by centrifugation and injection of the supernatant for analysis. Chromatographic separation was achieved using a Waters CORTECS C18+LC column (100 mm  $\times$  2.1 mm, 2.7  $\mu$ m) maintained at 50 °C and sample elution carried out at flow rate of 300  $\mu$ L/min with a biphasic gradient (water with 0.1% acetic acid and acetonitrile with 0.1% acetic acid). SN-38 and SN-38G were detected by multiple reaction monitoring (MRM) using an AB SCIEX 5500 mass spectrometer with an electrospray ionization source in positive ion mode controlled by AB SCIEX Analyst<sup>®</sup> software, version 1.6.2. All sample results were obtained within one analytical run. Samples above the calibration range were diluted to be below the point of saturation of the detector.

Non-compartmental analysis (NCA) was performed utilizing mouse plasma concentrations of SN38 and SN38-G that were obtained by LC-MS/MS. Plasma samples that were included in the NCA were collected at  $t=0, 1, 2, 4, 8, 12,$  and 24 h post-dose for PO route and at  $t=0, 0.083, 1, 2, 4, 8,$  and 24 h post-dose for IV route. The PK parameters were calculated using Phoenix WinNonlin software: maximum plasma concentration ( $C_{max}$ ), Area Under the plasma Concentration-time curve (AUC), elimination half-life ( $t_{1/2}$ ), apparent clearance (CL/F), and clearance (CL). AUC values were calculated using the linear-up log-down method.

### HCT-116 model efficacy study

HCT-116 cell line (CCL-247) was purchased from ATCC. After growing in Eagle's Minimum Essential, HCT-116 cells were harvested by trypsinization and washed twice with PBS. HCT-116 cells ( $2 \times 10^6$  per injection) were suspended in 200  $\mu$ L of a 1:1 solution of ice-cold PBS and Matrigel (Corning Incorporated, Corning, NY) solution. HCT-116 cancer xenograft tumors were first generated by injecting  $2 \times 10^6$  cancer cells into the flank area of SCID mice. After the tumors grew to 800–1200 mm<sup>3</sup>, they were isolated, and approximately 50 mg of non-necrotic tumor masses were subcutaneously

implanted into the flank area of individual female SCID mice. When the implanted xenograft tumors grew to 250 to 350 mm<sup>3</sup> on Day 7 after tumor transplantation, mice were randomly divided into 4 groups for intravenous injection: (1) vehicle (saline, 8 females), (2) SPESN38-5 (PO route at 200 mg/kg, 8 females), (3) irinotecan (50 mg/kg, 8 females), (4) SPESN38-5 (IV route at 55 mg/kg, 8 females). The intended dose for irinotecan (pharmaceutical grade for human application) at 100 mg/kg was attempted on 2 SCID mice bearing HCT-116. Surprisingly, both died immediately. Other doses at 75 and 50 mg/kg were tried on healthy CD-1 mice. Both mice from 75 mg/kg IV died instantly, but 2 mice from 50 mg/kg IV were safe, which is consistent with the literature report. Therefore, irinotecan treatment group had only 6 female mice for this study. Mice in group 1 and group 2 on Day 10 were sacrificed due to the large tumor size with diameter  $\geq 20$  mm. One mouse from group 4 showed health issues early on and was euthanized. Tumor volume (TV) and BW were measured three times per week or daily depending on the condition of the mouse. TV was calculated using the formula:  $v=0.5(L \times W^2)$ . Progression at the endpoint was a tumor size with diameter  $\geq 20$  mm or a moribund condition.

#### SK-LMS-1 model efficacy study

SK-LMS-1 cell line (HTB-88) was purchased from ATCC. After growing in Eagle's Minimum Essential, SK-LMS-1 cells were harvested by trypsinization and washed twice with PBS. SK-LMS-1 cells ( $1 \times 10^6$  per injection) were suspended in 200  $\mu$ L of a 1:1 solution of ice-cold PBS and Matrigel (Corning Incorporated, Corning, NY) solution. SK-LMS-1 cancer xenograft tumors were first generated by injecting  $1 \times 10^6$  cancer cells into the flank area of SCID mice. After the tumors grew to 800–1200 mm<sup>3</sup>, they were isolated, and approximately 50 mg of non-necrotic tumor masses were subcutaneously implanted into the flank area of individual mice. Equal number (12) of female and male mice were used in this experiment. When the implanted xenograft tumors grew to 250 to 350 mm<sup>3</sup> on Day 7 after tumor transplantation, mice were randomly divided into 6 groups for intravenous injection: (1) vehicle (saline, 4 females), (2) DOX (5 mg/kg, 4 females), (3) SPESN38-8 (IV at 33 mg/kg, 4 females), (4) vehicle (saline, 4 males), (5) DOX (5 mg/kg, 4 males), (6) SPESN38-8 (IV at 33 mg/kg, 4 males). The intended schedule for drug or vehicle treatment was weekly for 3 doses. However, mice in groups 2 and 5 with DOX at 5 mg/kg after 2 doses lost  $>20\%$  BW, indicating severe toxicity. Mice in group 1, 2, 4 and 5 on Day 9 were sacrificed due to the large tumor size with diameter  $\geq 20$  mm and severe BW loss ( $>20\%$ ). One male mouse from group 6 had some health issues early on and was sacrificed on

Day 14. Tumor volume (TV) and BW were measured three times per week or daily depending on the condition of the mouse. TV was calculated using the formula:  $v=0.5(L \times W^2)$ . Progression at the endpoint was a tumor size with diameter  $\geq 20$  mm or a moribund condition.

#### Tumor tissue preparations and staining study

Tumor tissues from Sk-LMS-1 mouse study were fixed in 10% neutral buffered formalin for 24, and then transferred into 70% ethanol for up to 4 days. The fixed tissues were embedded in paraffin and sectioned at 5 microns at any time when tissues were moved into 70% ethanol. All the specimens were formalin-fixed and paraffin-embedded.

#### H & E staining

Dako CoverStainer was utilized for H & E staining analyses on the paraffin-embedded SK-LMS-1 tumor tissues with a DAKO H&E kit.

#### Immunohistochemistry (IHC) analysis on Ki67 and cleaved caspase-3

Deparaffinized tissue sections were rehydrated and incubated in  $1 \times$  pH6 citrate buffer (Invitrogen Cat #00–5000) for 20 min using a DAKO PT Link. With an Autostainer, the following steps and reagents were used for IHC analysis:

- (1) Incubation in 3% H<sub>2</sub>O<sub>2</sub> for 15 min;
- (2) Incubation with 10% normal goat serum 10 min (Thermo Fisher #50062Z) 10 min;
- (3) Incubation with Avidin/Biotin block (Vector Labs Cat#SP-2001);
- (4) Incubation with primary KI67 antibody (Abcam #ab15580 or Cleaved Caspase-3 (Asp175) antibody (Cell Signaling Cat #9661) diluted in 1% BSA for 30 min;
- (5) Incubation with secondary Goat anti Rabbit (Vector labs #BA-1000) for 15 min;
- (6) Incubation with ABC reagent (Vector Labs Cat #PK 6100) for 30 min;
- (7) Incubation with DAB substrate (Dako Cat #K3467) for 5 min;
- (8) Counterstained with DAKO Hematoxylin for 20 s;
- (9) Coverslipped slides.

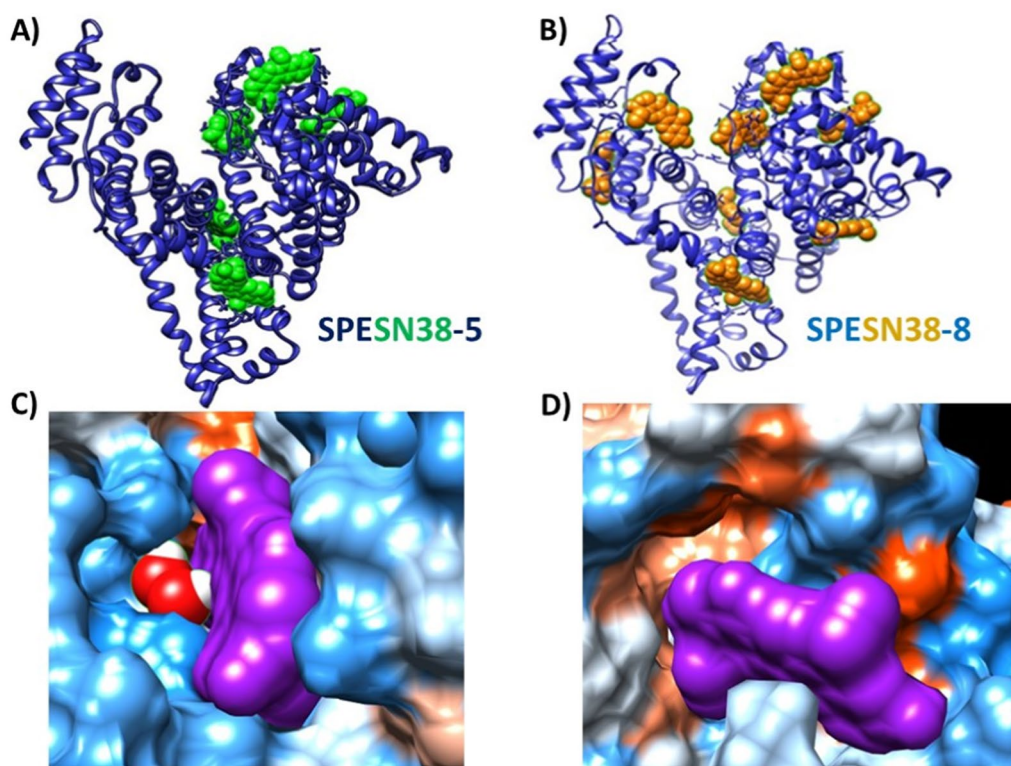
#### Statistic analysis

Statistic analyses of tumor volume and tumor weight change are described in Additional file.

## Results

#### SPESN38 complexes

Following the successful preparation of SPEDOX-6 by the SPE technology [34], we made two SPESN38 complexes, SPESN38-5 and SPESN38-8 (Fig. 1A, B) by the same technology using clinical grade HSA and SN38 (Na salt form). SN38 (neutral lactone form) has very low solubility in water. The general principle of the SPE technology involves the creation of specific conditions (such as the

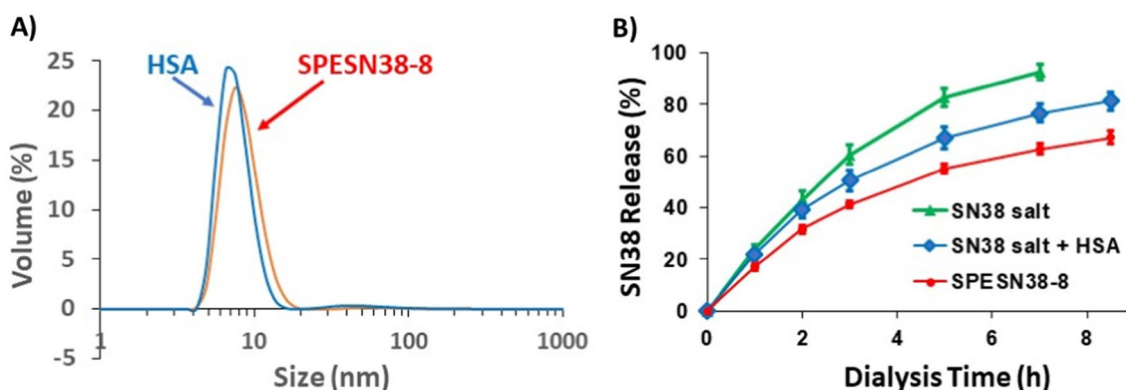


**Fig. 1** Computer docking images of SPESN38 complexes using UCSF Chimera and AutoDock Vina. **A** SPESN38-5, **B** SPESN38-8. The SN38-HSA binding Gibbs free energy ( $\Delta G^\circ$ ) at 8 docking sites was calculated to be  $-9.5$ ,  $-9.1$ ,  $-8.6$ ,  $-7.7$ ,  $-7.7$ ,  $-7.6$ ,  $-7.5$ , and  $-7.3$  kcal/mol, corresponding to  $K_d$  values of  $0.12$ – $4.8$   $\mu\text{M}$ . **C** SN38 binding site 1,  $\Delta G^\circ = -9.5$  kcal/mol,  $K_d = 0.12$   $\mu\text{M}$ , **D** SN38 binding site 8,  $\Delta G^\circ = -7.3$  kcal/mol,  $K_d = 4.8$   $\mu\text{M}$

amount of organic solvents, pH and temperature), under which HSA undergoes a certain degree of partial denaturation to encourage drug molecule binding at different sites. Following the formation of SPESN38 complexes, the solution was lyophilized to yield yellowish powder. The lyophilized SPESN38 complexes are highly water soluble and stable at room temperature for more than 4 h (the maximum allowable time of any injectable drug solution required by the FDA) and at  $2$ – $8$   $^\circ\text{C}$  for more than 24 h (the minimum FDA-required time of any injectable drug solution). They are also stable for more than 4 h in 4% HSA (3.5–5% HSA in human blood) at room temperature. In contrast, neither SN38 salt nor SN38 salt-HSA mixture is stable under the same conditions. Therefore, in contrast to SN38, both SPESN38-5 and SPESN38-8 are highly water soluble with sufficient stability to satisfy FDA stability requirements as injectable drugs.

Molecular docking using UCSF Chimera [42] and AutoDock Vina [43] indicates that there are 8 potential SN38 binding sites within HSA (Fig. 1B) with the Gibbs binding energy ( $\Delta G^\circ$ ) of  $-9.5$  to  $-7.3$  kcal/mol, corresponding to  $K_d$  values of  $0.12$ – $4.8$   $\mu\text{M}$ . These binding sites show well-defined SN38 binding pockets that involve multiple non-covalent interactions (Fig. 1C,

D). The size distribution of SPESN38-8 and HSA (as the control) was analyzed by dynamic light scattering (DLS), yielding similar profiles (Fig. 2A). The difference between the two profiles is within the range of variation among different DLS scans of the same sample, indicating that SPESN38-8 has a similar size of monomeric HSA. This characteristic monomeric HSA in SPESN38 complexes is consistent with that in SPEDOX-6 [34], in which the complex is formed via non-covalent interactions between monomeric HSA and DOX. To confirm the non-covalent nature of SPESN38 complex formation, we conducted membrane dialysis with different forms of SN38 in  $\text{NaHCO}_3$  buffer. As shown in Fig. 2B, dialysis of free SN38 salt proceeded quickly, with  $>90\%$  out of the membrane tubing in 7 h. In comparison, a simple SN38 salt-HSA mixture had slower dialysis kinetics, with  $\sim 80\%$  out in 8.5 h, indicating there is some interaction between SN38 and HSA. However, SPESN38-8 dialysis was much slower, with  $<70\%$  SN38 released to the reservoir buffer in 8.5 h. These results indicate (1) SPESN38-8 has the similar size of HSA; (2) SPESN38-8 is different from a simple SN38-HSA mixture; (3) SPESN38-8 is formed by non-covalent interactions between HSA and SN38;



**Fig. 2** Properties of SPESN38-8 complex. **A** Particle size distribution profiles of SPESN38-8 and HSA, determined by DLS, indicating that SPESN38-8 and HSA had similar sizes. **B** SN38 release kinetics of different SN38 forms determined by membrane dialysis in 0.1 M NaHCO<sub>3</sub> buffer, pH 8.6. SN38 has very solubility in water. Therefore, its Na salt formed was used. Compared with relative to SN38 and SN38/HSA mixture, the slow dialysis kinetics of SPESN38-8 indicates non-covalent interactions between SN38 and HSA

(4) the non-covalent interactions are sufficient to form stable SPESN38 complexes, while weak enough to permit slow SN38 dissociation from the complexes.

#### MTD determination for SPESN38-5 and SPESN38-8

Using CD-1 mice, we conducted MTD determination for SPESN38-5 in both oral (PO) and IV routes and SPESN38-8 in IV route. In the PO route, the first dosing at 300 mg/kg was fed to two female CD-1 mice, but one mouse died in less than 72 h, indicative of exceeding MTD. The second and third doses at 250 and 200 mg/kg were evaluated (Fig. 3A, B). It is clear that a 250 mg/kg dose caused a quick BW change after 5 days for one mouse (Fig. 3A) and a 200 mg/kg dose provided consistent results. Therefore, we concluded that the MTD for SPESN38-5 in the PO route is between 200 and 250 mg/kg. We used a dose of 200 mg/kg for in vivo efficacy study and a dose of 250 mg/kg for PK study.

In the IV route for SPESN38-5, an initial dose at 80 mg/kg was attempted, both mice died immediately. Lower doses at 55, 50, 45 and 35 mg/kg were evaluated using 2 and 4 mice/group (Fig. 3C–F). None of the four doses resulted in significant BW loss. Therefore, SPESN38-5 MTD at 55 mg/kg was chosen for PK and in vivo antitumor efficacy study.

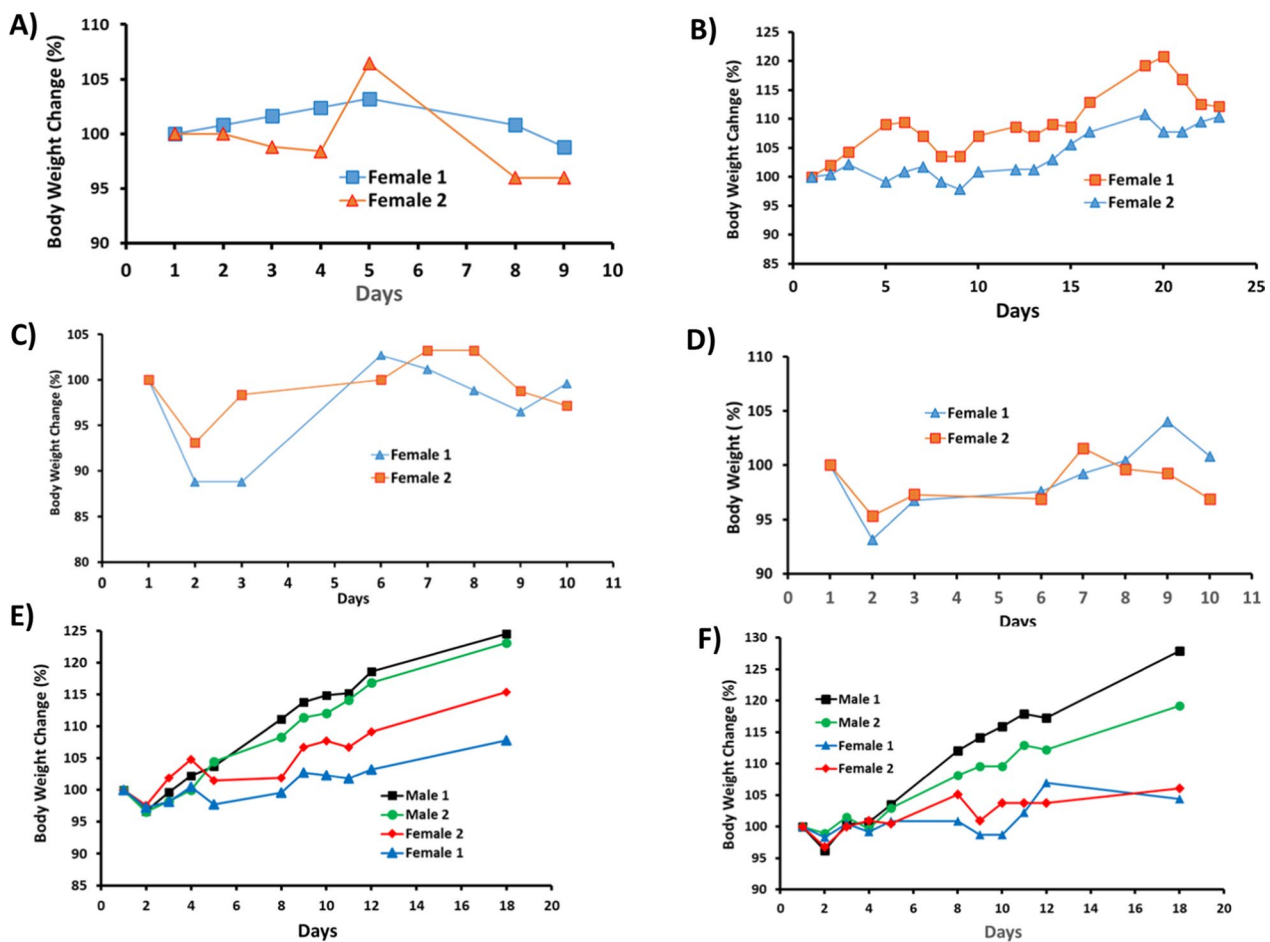
In the IV route for SPESN38-8, two doses at 45 and 35 mg/kg were evaluated using 4 mice (Fig. 4A, B). Both doses have acceptable toxicity and 45 mg/kg for SPESN38-8, which is estimated as its single dose MTD. However, when we planned and designed in vivo antitumor study, we conservatively chose a dose at 33 mg/kg for 3 weekly injections in order to ensure that the body weight loss was < 20% after three doses.

#### PK studies of SPESN38-5

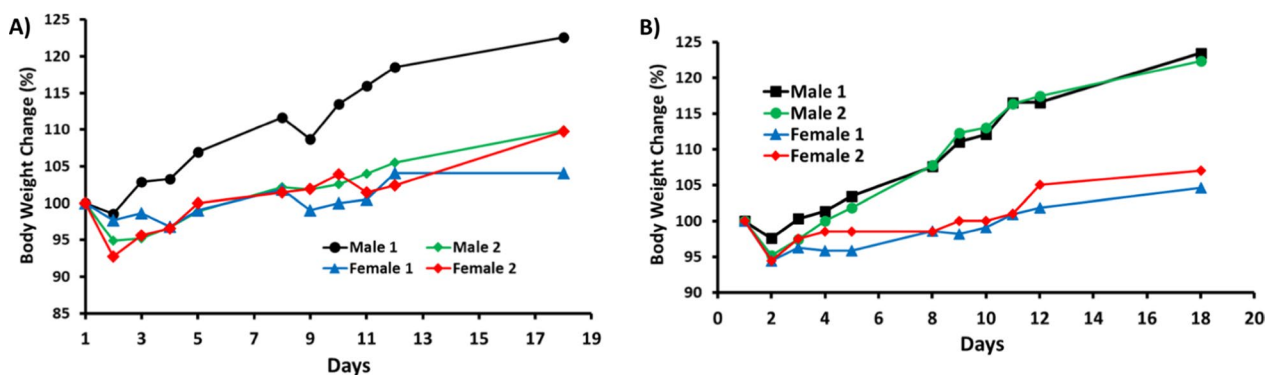
A single dose of 250 mg/kg in PO route and 55 mg/kg in IV route were administered into CD-1 mice in triplicate. The total amount of SN38 and its metabolite SN38G in collected blood samples were extracted under acidic conditions and were analyzed by LC–MS/MS. Under acidic conditions, the carboxylate forms of both SN38 and SN38G would be converted into their respective lactone forms. The total mouse serum SN38 and SN38G concentration–time profiles are shown in Fig. 5A, B. The following PK parameters—maximum plasma concentration ( $C_{max}$ ), Area Under the plasma Concentration–time curve (AUC), elimination half-life ( $t_{1/2}$ ), apparent clearance (CL/F), and clearance (CL) are listed in Table 1. For the oral route at a dose of 250 mg/kg,  $AUC_{0-\infty}$  for SN38 and SN38G are 22 and 2559 ng  $\times$  h/mL, respectively. When converted into nmol,  $AUC_{0-\infty}$  for SN38 and SN38G are 0.05 and 4.5 nmol  $\times$  h/mL, with the molar ratio of SN38:SN38G=1:90 in mouse plasma. It is surprising that most SN38 was glucuronidated to SN38G, an inactive form from SN38 metabolism. The oral bioavailability of SPESN38-5 was estimated to be only ~3%. However, the IV route at a dose of 55 mg/kg gave much better  $AUC_{0-\infty}$  of 7378/15795 ng  $\times$  h/mL and 19/28 nmol  $\times$  h/mL for SN38 and SN38G, with SN38:SN38G=1:1.5. Therefore, it is expected that antitumor efficacy of SPESN38-5 would be higher by IV over the PO route.

#### Antitumor efficacy against HCT-116 tumors

Irinotecan, a prodrug of SN38, is the standard treatment of CRC. Therefore, we wanted to test the antitumor efficacy of SPESN38-5 in a CRC mouse model. The HCT-116 CRC xenograft model was chosen for the following reasons: (i) its low FcRn level (< 2 TPM, transcript per



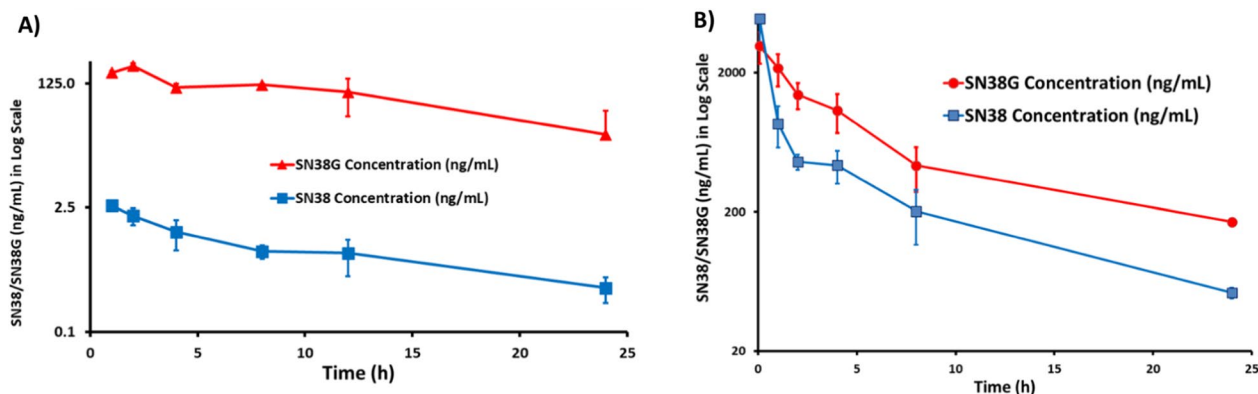
**Fig. 3** Mouse BW changes vs days after treatment for SPESN38-5 on Day 1, **A** PO route at 250 mg/kg; **B** PO route at 200 mg/kg; **C** IV route at 55 mg/kg; **D** IV route at 50 mg/kg; **E** IV route at 45 mg/kg; **F** IV route at 35 mg/kg. The experiments at high doses were done with 2 mice/group to map the MTD range. At near MTD doses, 4 mice/group were used



**Fig. 4** Mouse BW changes vs days after treatment for SPESN38-8 on Day 1, **A** IV route at 45 mg/kg; **B** IV route at 35 mg/kg. Both doses were well tolerated

million [44], (ii) its KRAS mutation at G13D, (iii) its responsiveness to irinotecan. SPESN38-5 in both PO and IV routes was evaluated, in comparison to irinotecan,

against HCT-116 using female SCID mice. Due to the fast growth rate of HCT-116 tumors, all mice in the vehicle control group and SPESN38-5 at 200 mg/kg via PO had



**Fig. 5** PK study of SPESN38-5 in triplicate. **A** Total mouse plasma SN38 and SN38G concentrations vs times for SPESN38-5, PO route at 250 mg/kg; **B** Total mouse plasma SN38 and SN38G concentrations vs times for SPESN38-5, IV route at 55 mg/kg

**Table 1** Summary of PK parameters for SPESN38-5

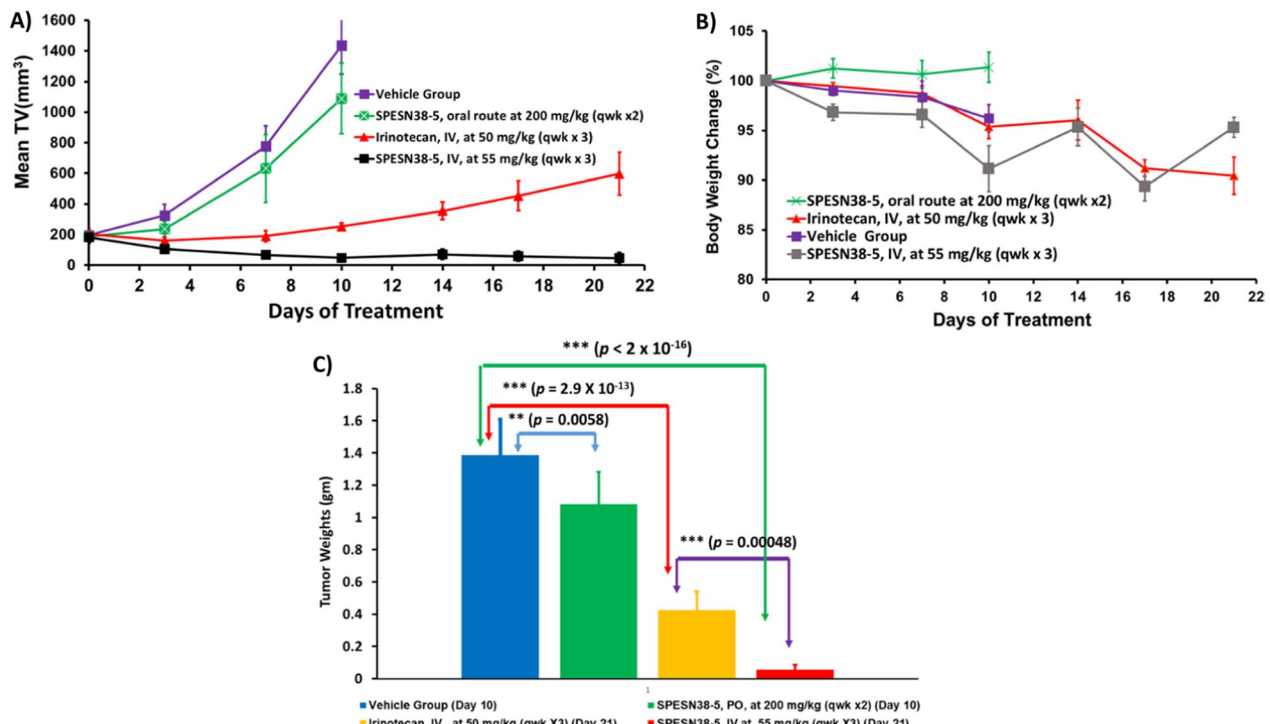
	$C_{max}$ (ng/mL)	$AUC_{0-24h}$ (ng × h/mL)	$AUC_{0-\infty}$ (ng × h/mL)	$T_{1/2}$ (h)	CL/F L/h/kg)	CL (mL/h/kg)
Single PO dose of SPESN38-5 (250 mg/kg)						
SN38	2.65	17.4	21.5	11.3	11,619	–
SN38-G	216.33	2312.1	2558.8	6.9	–	–
Single IV dose of SPESN38-5 (55 mg/kg)						
SN38	4883.33	6852.3	7377.6	6.9	–	7455
SN38-G	3123.33	13,931.8	15,794.8	7.6	–	–

to be euthanized on Day 10 (Fig. 6A). For the irinotecan group, an intended dose at 100 mg/kg IV (a fresh GMP grade irinotecan for human injection) was attempted on 2 mice. Unfortunately, both died immediately. Other doses at 75 mg/kg and 50 mg/kg were tried on healthy CD-1 mice. Both mice from 75 mg/kg dose died instantly but the 2 mice from 50 mg/kg dose were safe, which is consistent with the literature report [45]. Therefore, MTD of irinotecan in SCID mice is 50 mg/kg via IV and was used for in vivo efficacy study with a total of 6 mice per group. On Day 10, tumor growth inhibition (TGI) for SPESN38-5 PO, irinotecan IV and SPESN38-5 IV, are 24, 82, and 97%, respectively, compared to the control group (Fig. 6A). By comparing tumor volume (TV) from Day 0 to Day 10 for each group, TV changes are 632, 483, 21, and – 73% for the control, SPESN38-5 at 200 mg/kg PO, irinotecan at 50 mg/kg IV, and SPESN38-5 at 55 mg/kg IV, respectively (Additional file 1: Table S1). While SPESN38-5 via PO did slow down tumor growth (24% TGI on Day 10) relative to the control group, SPESN38-5 via IV shrank TV by 73% over the same period, indicating potent anticancer activity. In comparison, the standard CRC treatment drug irinotecan had 82% TGI, but the tumor still increased by 21% on Day 10. TV in the irinotecan group continued to increase by 136% from

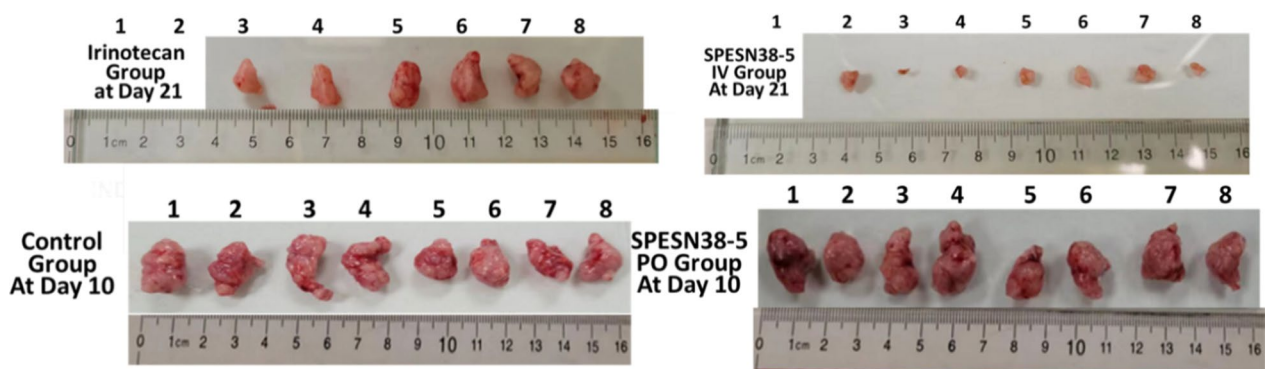
Day 10 to Day 21. In stark contrast, SPESN38-5 IV group further reduced TV slightly by 3% (Additional file 1: Table S1). The toxicity of the treatment agents was evaluated by body weight (BW) change over time, which is an established method for early-stage preclinical studies. The normalized BW changes for the above testing groups are not different from each other and all BW changes are within the acceptable ranges (< 15%) (Fig. 6B and Additional file 1: Table S1). Therefore, SPESN38-5 at 55 mg/kg via IV exhibited potent anticancer activity with low systematic toxicity.

The tumor tissues from all mice at their ending points were dissected and weighed (Fig. 6C). In agreement with TV measurement, the average tumor weight in the SPESN38-5 at 200 mg/kg PO group on Day 10, irinotecan at 50 mg/kg IV group and SPESN38-5 at the 55 mg/kg IV group on Day 21 was significantly less than that in the control group on Day10. In particular, SPESN38-5 IV group significantly reduced tumor growth relative to the irinotecan group on Day 21, resulting in the average tumor weight ratio of irinotecan:SPESN38-5 = 8:1, indicating superior SPESN38-5 efficacy over irinotecan in the CRC mouse model. Furthermore, photographic images of the tumors removed at the end of experiments for each treatment group (Fig. 7) indicate that 55 mg/kg





**Fig. 6** Mean TV and % BW change vs treatment time, tumor weight for SPESN38-5 and irinotecan. **A** Mean TV vs treatment time for all female mice. Mice # for each group, control (n=8), SPESN38-5, PO (n=8), Irinotecan (n=6), and SPESN38-5, IV (n=8). On Day 10, SPESN38-5, PO, TGI, 24.1%, very significantly lower than control group (\*\*\*,  $p=0.00022$ ), Irinotecan, TGI, 82.3%, very significantly lower than control group (\*\*\*,  $p < 2 \times 10^{-16}$ ), SPESN38-5, IV, TGI, 96.6%, very significantly lower than control group (\*\*\*,  $p < 2 \times 10^{-16}$ ), SPESN38-5, IV significantly reduced tumor volume, compared to Irinotecan group (\*,  $p=0.0241$ ). On Day 21, SPESN38-5, IV, very significantly reduced tumor volume, compared to Irinotecan group (\*\*\*,  $p=1.6 \times 10^{-5}$ ); **B** % BW change vs treatment time for all mice, not significantly different from each other, SPESN38-5 PO group did not display any BW loss; **C** Comparison of the average tumor weights at their ending points



**Fig. 7** Photographic images of tumors of each group at the end of experiments. Bottom: left, control groups on Day 10 when mice were euthanized due to fast tumor growth; right, SPESN38-5 PO group on Day 10. TOP: left, Irinotecan group (6 mice) on Day 21; right, SPESN38-5 IV group (7 mice) on Day 21. SPESN38-5 significantly reduced the tumor size at the end of the experiment

SPESN38-5 by IV route achieved much stronger antitumor effect than irinotecan at its MTD dose of 50 mg/kg. Further TV and tumor weight comparison and statistical analysis are presented in Additional file 1: Figs. S1–S3 and Tables S4, S6. Taken together, TV, tumor weight, and

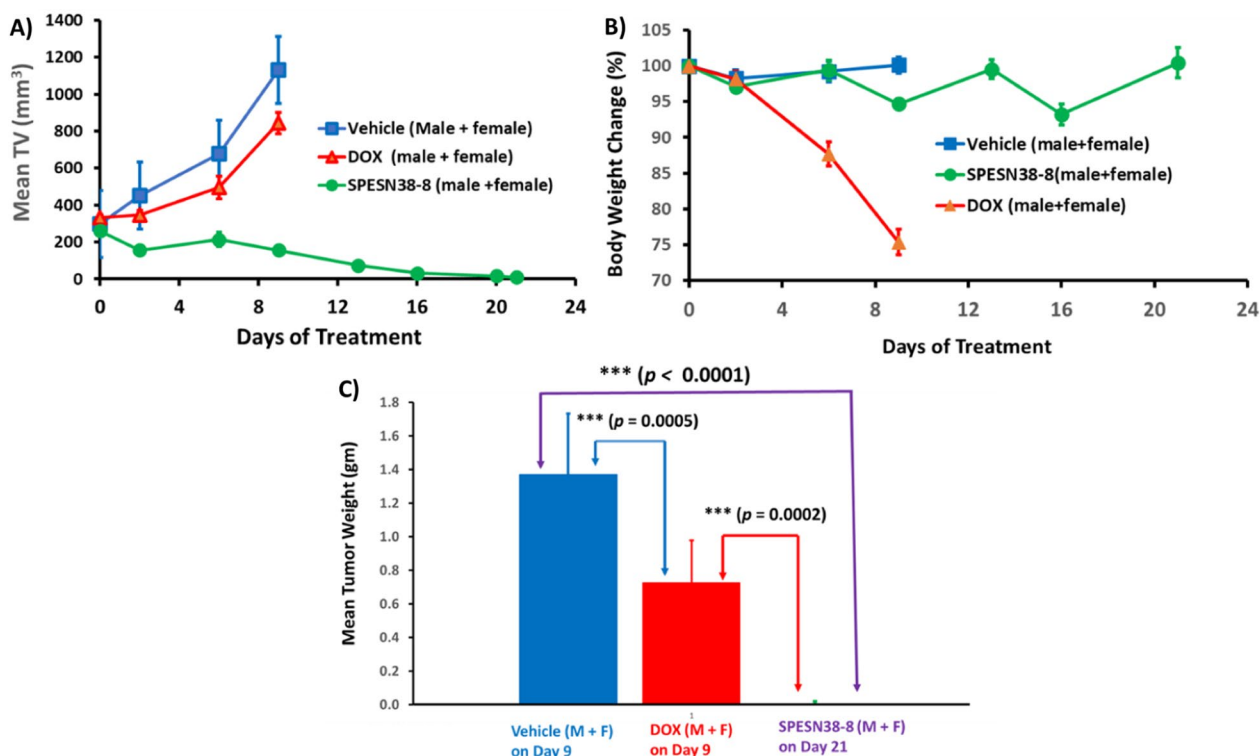
photographic tumor images consistently demonstrate that SPESN38-5 in IV at 55 mg/kg SN38-equivalent dose is much more effective than 50 mg/kg irinotecan in suppressing HCT-116 tumor growth, without displaying systemic toxicity as measured by BW change. Therefore,

SPESN38-5 by IV route may be a great drug candidate for further development into a clinical therapeutic against CRC and other cancers.

**Antitumor efficacy against SK-LMS-1 tumors**

To further explore the anticancer activities of SPESN38 complexes, we chose STS due to its limited treatment options. SK-LMS-1 as an established human leiomyosarcomas cell line is insensitive to DOX, the standard treatment for STS patients, with a high DOX IC50 of 0.49 uM [46] and has a moderate FcRn expression level (57 TPM) [44]. SPESN38-8 that encapsulated max numbers of SN38 molecules was selected for in vivo efficacy evaluation, in comparison to DOX against SK-LMS-1 xenograft tumors (4 male and 4 female SCID mice per group). This study also intended to demonstrate the superior antitumor efficacy of SPESN38-8, just like SPEDOX-5. Due to the fast growth rate of SK-LMS-1 tumors, all mice in the vehicle control group and 5 mg/kg DOX had to be euthanized on Day 9 (Fig. 8A). On Day 6, while 5 mg/

kg DOX showed 27% TGI relative to the control group, 33 mg/kg SPESN38-8 had 69% TGI. On Day 9, DOX and SPESN38-8 exhibited respective 25% and 86.2% TGI, and the difference between DOX and SPESN38-8 treatment were very significant (\*\*\*,  $p < 0.0001$ ). On Day 21, 6 out of 7 mice in the SPESN38-8 treatment reached tumor-free status (One male mouse from SPESN38-8 treatment group had some health issues early on and was sacrificed on Day 14). On Day 9, TV change was 281, 142, and - 40% for the control group, the DOX treatment group, and the SPESN38-8 treatment group (Additional file 1: Table S2), respectively. While 5 mg/kg DOX treatment slowed the tumor growth rate ~2X in TV relative to the control group, the treatment showed severe toxicity, and the mice in both the control group and the DOX treatment group had to be sacrificed on Day 9 due to the high tumor burden and unacceptable toxicity. From Day 9 to Day 21, TV in the 33 mg/kg SPESN38-8 treatment group continued to shrink to reach 96% (average) reduction (Additional file 1: Table S2). Six of the 7 mice



**Fig. 8** Mean TV and % BW changes vs treatment time, tumor weights for SPESN38-8 and doxorubicin for SPESN38-8. **A** Mean tumor volume vs treatment time for 4 male and 4 female mice. Mice # for each group, control (n=8), DOX (n=8), and SPESN38-8, IV (n=8). On Day 6, DOX, TGI, 26.9%, significantly lower than control group (\*\*,  $p = 0.0039$ ), SPESN38-8, TGI, 68.5%, very significantly lower than control group (\*\*\*,  $p < 0.0001$ ). On Day 9, DOX, TGI, 25.3%, very significantly lower than control group (\*\*\*,  $p < 0.0001$ ), SPESN38-8, TGI, 86.2%, very significantly lower than control group (\*\*\*,  $p < 0.0001$ ), SPESN38-8, very significantly reduced tumor volume, compared to DOX group (\*\*\*,  $p < 0.0001$ ). On Day 21, SPESN38-8 treatment group had three mice free of tumors; **B** % BW change vs treatment time for all mice. DOX group at 5 mg/kg (qwk x 2) shown severe and unacceptable toxicity, all mice have to be euthanized on Day 9. But SPESN38-8 at 33 mg/kg (qwk x 3) group did not display any BW loss; **C** Comparison of the average tumor weights at their ending points

were tumor-free (4 mice without observable tumors and 2 mice with mouse scar tissues without tumor cells, seen the following section) at the end of the experiment on Day 21).

The normalized BW change for 5 mg/kg DOX treatment group is 75%, indicating unacceptable toxicity without effective antitumor activity (Fig. 8B and Additional file 1: Table S2). In contrast, 33 mg/kg SPESN38-8 treatment did not show any systemic toxicity (100% normalized BW changes on Day 21) with excellent anticancer activity (Fig. 8A and Additional file 1: Table S2). Static analysis of TV is shown in Additional file 1: Table S5, S7–S10. The combined data from BW change and TV confirm that DOX is not suitable for treating SK-LMS-1 (leiomyosarcoma). SPESN38-8 with a different mechanism of action (Topo I inhibitor) can overcome the resistance of some subtypes of STS toward DOX (Topo II inhibitor) treatment.

In agreement with TV, the tumor tissues from all mice at their ending points (Fig. 8C) clearly indicates the great efficacy of SPESN38-8 and DOX's contrast ineffectiveness. The tumor weight difference among different treatment groups was statistically significant. In addition, photographic images of tumors and heart removed at the end of experiments (Fig. 9A) demonstrate excellent antitumor effect of 33 mg/kg SPESN38-8 relative to DOX at its MTD dose (5 mg/kg). To further assess treatment effect on tumors, the dissected SK-LMS-1 tumor tissues from the control group (8 tissues) and the SPESN38-8 treatment group (3 tissues from the 3 mice with visible mass at the tumor graft site; the other 4 mice had no visible mass at the tumor graft site) were fixed and paraffin embedded for IHC studies. The dissected tumor tissues from the DOX treatment group were not fixed for further study because they were similar to the tumor tissues from the control group due to the insensitivity of DOX treatment to SK-LMS-1. The paraffin-embedded tumor tissue sections were subjected to H&E staining (tissue morphology), Ki67 staining (a cellular marker for proliferation), and cleaved/active caspase 3 staining (a marker for programmed cell death). H&E, Ki67, and cleaved/active caspase 3 staining on one tissue from the control group and the SPESN38-8 treatment groups with tumor tissue or mouse scar tissue were shown in Fig. 9B. On the left panel, the control tumor tissue displayed high cancer cell density with large nuclei and high Ki67 but low cleaved caspase 3 levels. In the middle panel, a representative tumor tissue of the SPESN38-8 treatment group from the bottom panel of Fig. 9A from showed reduced cancer cell density and lower Ki67 but higher cleaved caspase 3 levels relative to the left panel, indicating the antitumor effect by SPESN38-8. On the right panel, surprisingly, cancer cells were not detected in scar tissue samples

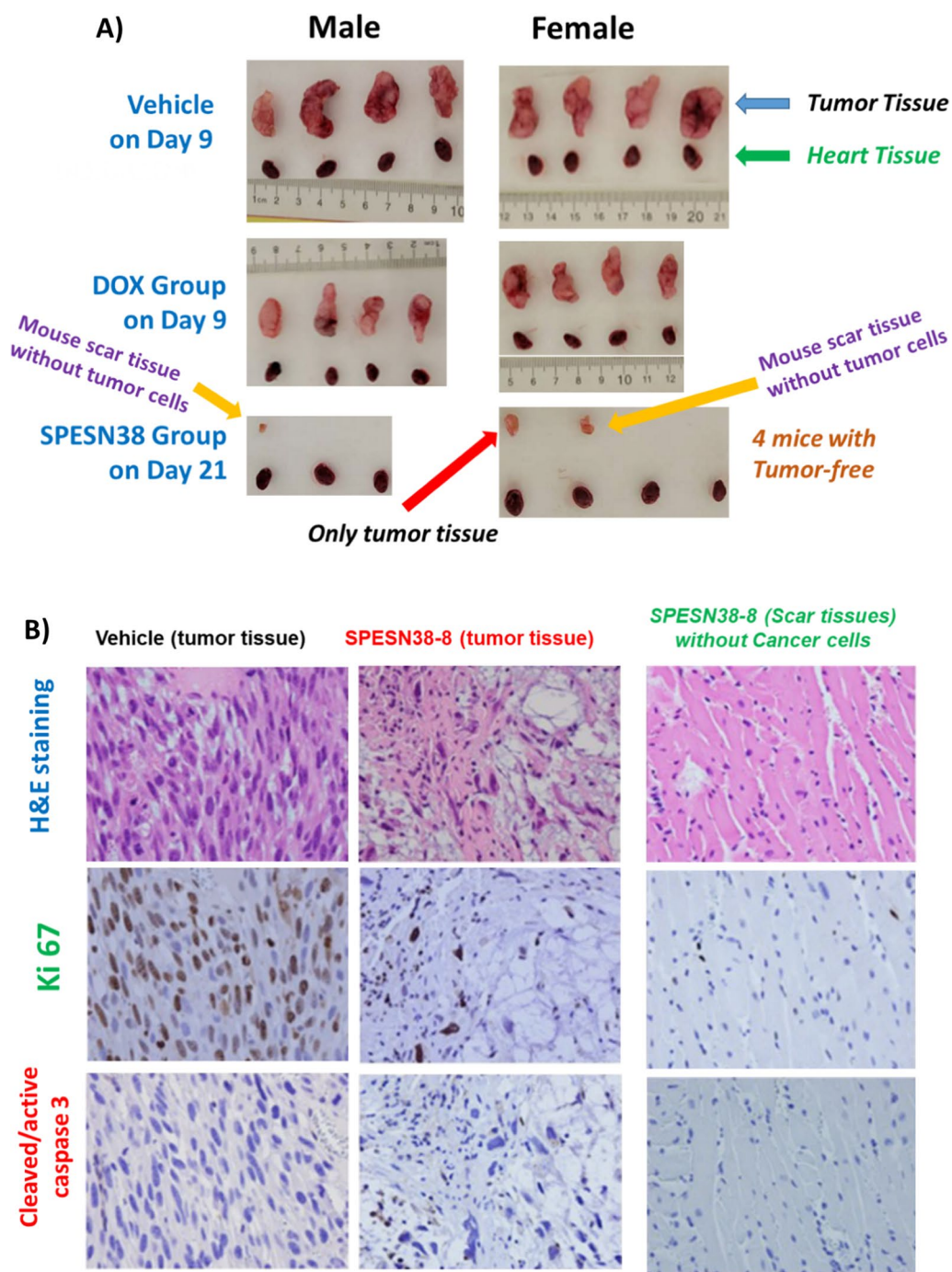
from the bottom panel of Fig. 9A. Of note, the xenograft models for efficacy studies were not derived directly from human cancer cell injections but from implantation of tumor tissue fragments from earlier injections of cancer cells. In this procedure, mouse scar tissues may sometimes appear at the tumor implantation site that look like a small tumor.

Taken together, tumor volume, tumor weight, photographic tumor images, and IHC staining results convincingly demonstrate that SPESN38-8 at 33 mg/kg (SN38-equivalent) dose is very effective in suppressing SK-LMS-1 STS tumor growth with low systemic toxicity, eliminating the implanted tumor from 6 out of 7 mice while significantly reducing the tumor size of the other (Fig. 9). Therefore, SPESN38-8 as a novel form of unmodified SN38 displays highly desirable drug-like properties, such as increased MTD, PK values, and antitumor efficacy. It is a promising drug candidate that warrants further preclinical and clinical studies for developing it into an efficacious drug against CRC, STS, and other cancers.

## Discussion

CPTs belong to the class of TOP1 inhibitors [2, 47–53]. Among CPTs [54], SN38 stands out as one of the most potent cancer therapeutics. It is well known that the lactone form (L form) of CPTs undergoes pH-dependent and reversible ring opening through hydrolysis [3] to produce the inactive carboxylate form (C form) [4]. Different CPTs have similar  $t_{1/2}$  for the ring opening reaction and reach the equilibrium state with ~20/80% L/C forms in PBS buffer at 37 °C. However, the presence of HSA significantly changes the kinetics and thermodynamics of the ring opening reaction, due to differential HSA binding to L/C forms of different CPTs. While HSA binds the L/C forms of CPT with a 157-fold higher affinity for the C form, SN38 binding to HSA is reversed, with 4.3-fold stronger binding for the L form. As a result, HSA increases the ring opening of CPT with decreasing  $t_{1/2}$  and <0.5% L form at the equilibrium. On the contrary, SN38's  $t_{1/2}$  and the L form at the equilibrium both increase substantially in the presence of HSA, from 20 to 35 min and 13 to 38%, respectively [4]. For the past decades, the unique properties of SN38 attracted many research attempts, but its poor aqueous solubility has hindered its development as an unmodified drug. Consequently, the prodrug approach led to an irinotecan approved by the FDA, generating active metabolite SN38 by a biotransformation [12, 16–20]. The complex PK and low fraction conversion (2–8%) of irinotecan in human setting [10–13] have resulted in inconsistent PK behaviors and efficacy among different patients [21, 22].

The current study represents the first example of developing unmodified SN38 in soluble and stable forms



**Fig. 9** Photographic images of tumors and hearts and Immunohistochemical staining (ICS) images for SPESN38-8. **A** Photographic images of tumors and hearts of each group at the end of experiments. *Top*, control groups on Day 9 when mice were euthanized due to fast tumor growth; *Middle*, DOX group on Day 9 when mice were euthanized due to severe toxicity, *Bottom*, SPESN38-8 group on Day 21, having six mice with no tumors or scar tissues without tumor cells, **B** Immunohistochemical staining images (40X) of paraffin-embedded tumor tissues (SK-LMS-1) sections for H & E, Ki67 and cleaved/active caspase 3 for tumor tissues for control group (*left panel*) and tumor tissue from SPESN38-8 treatment group (*middle panel*) and scar tissue without cancer cell from SPESN38-8 treatment group (*right panel*)

for in vivo antitumor evaluation. Based on the similar preparation procedure except for different SN38/HSA ratios, SPESN38-5 and SPESN38-8 are expected to display similar pharmacological properties such as MTD, PK, and antitumor efficacy. Toxicity study in mice indicated SPESN38-5 and SPESN38-8 with respective 55 and 45 mg/kg MTD, confirming their similar but not identical properties. We thus proceeded to conduct PK and different tumor model studies with either SPESN38-5 or SPESN38-8.

SN38  $AUC_{0-\infty}$  of SPESN38-5 at a single IV dose of 55 mg/kg (Table 1) is similar to that of irinotecan at a dose of 200 mg/kg (Additional file 1: Table S3) [55]. Based on their molecular weights, the SN38  $AUC_{0-\infty}$  value for SPESN38-5 is estimated to be 1.8 times higher than that for equivalent irinotecan. Since the carboxylesterase activity is much lower in humans than in mice [56], much smaller % irinotecan biotransformation to SN38 in humans relative to mice is expected, likely resulting in much lower SN38  $AUC_{0-\infty}$  value of irinotecan compared to SPESN38-5 in human plasma. Unlike the prodrug irinotecan, SPESN38-5 does not need biotransformation to SN38 by carboxylesterases, minimizing inconsistency among different patients. As demonstrated for SPEDOX-6 [34], delivery of SPESN38-5 to cancer cells via endocytosis, followed by SN38 dissociation and/or HSA hydrolysis by proteases, releases unmodified SN38 into the cytosol of cancer cells. Furthermore, while HSA has a long half-life of 3 weeks in human serum, due to its effective rescue and recycling through strong HSA-hFcRn (human FcRn) binding, MSA-mFcRn (mouse serum albumin-mouse FcRn) binding is weak [57], leading to a short HSA half-life in mice. As a result, SPESN38-5 is expected to have even better SN38  $AUC_{0-\infty}$  value relative to irinotecan in humans than in mice.

As expected from the PK values, SPESN38-5 in the PO route did not provide a viable option for treating cancer because of low oral bioavailability. However, both SPESN38-5 and SPESN38-8 in the IV route demonstrated excellent antitumor efficacy in 2 mouse models. In the HCT-116 CRC model, SPESN38-5 at 55 mg/kg showed superior antitumor activity compared to irinotecan (Additional file 1: Table S1). Separately, in the SK-LMS-1 STS model, excellent antitumor activity was achieved by SPESN38-8 at 33 mg/kg, resulting in 6 of 7 mice tumor-free. In stark contrast, conventional DOX at 5 mg/kg (MTD) was ineffective. Due to the fact that each HSA molecule in SPESN38-8 carries 60% more of SN38 than SPESN38-5 without lowering anticancer efficacy and higher toxicity, SPESN38-8 is the preferred drug candidate for further investigation.

It is known that tumor cells aggressively take up HSA as nutrients to support fast growing tumor cells [35–37, 39].

As such, SPESN38 complexes may achieve targeted SN38 delivery to cancer cells due to: (1) HSA (in SPESN38) is taken up by tumor cells, and dissociation and/or enzymatic degradation of HSA release SN38; (2) the acidic tumor microenvironment destabilizes SPESN38 as demonstrated for SPEDOX-6 [34], resulting in HSA's conformation change and liberation of SN38; (3) Secreted Protein Acidic and Rich in Cysteine (SPARC) with binding affinity to HSA, may play an important role in promoting tumor uptake of HSA and ABRAXANE [58, 59], although recent clinical trials did not reveal a significant correlation between SPARC expression and the treatment outcome of ABRAXANE [60].

The long half-life of HSA can be attributed to FcRn-mediated rescue and recycling mechanism [31–33, 61]. If cancers express less FcRn, they are expected to have less HSA (SPESN38) recycling capacity, leading to increased endocytosis, SN38 dissociation, and lysosomal degradation of HSA (SPESN38). Consequently, cancer cells would get higher concentrations of SN38 relative to normal cells in cancer patients. Published reports [35, 37–39, 62] and a database [63] convincingly show that many types of cancer, including breast cancer, lung cancer, cervical cancer, ovarian cancer, pancreatic cancer [64], CRC [39], have significantly lower levels of FcRn, which promotes tumor growth by increasing HSA endocytosis and consumption. Therefore, FcRn expression levels might offer a promising cancer-targeting strategy for development of HSA-encapsulated drugs for attacking various cancers [65].

Conventional drug-containing NPs are usually assembled from lipids, synthetic and natural polymers, and inorganic materials. These NPs can be made in different size ranges and are heterogeneous in size distribution (polydisperse). Furthermore, drug molecules are often linked to the carrier through covalent conjugation. In contrast, the SPE technology has the following unique properties: (1) The formulation process involves no chemical steps. HSA encapsulation of drug molecules are achieved through multiple non-covalent interactions between HSA and drug molecules under a specific set of conditions; (2) The binary system contains a single native HSA molecule that encapsulates a predefined number of a specific drug molecule in its unmodified form; (3) The resulting SPEDRUG complex is uniform in size (monodisperse) and has the same size of a native HSA molecule; (4) The number of drug molecules per HSA molecule may be adjusted according to specific application; (5) The HSA-drug binding strength is tunable by adjusting formulation conditions to effect PK and antitumor efficacy. The successful development of SPEDOX-6 [34], SPESN38-5, and SPESN38-8 has demonstrated the utility and versatility of the SPE platform. We are actively

developing other SPEDRUG complexes, and different SPEDRUG complexes are expected in the future.

## Conclusion

Using the newly developed SPE technology, we prepared SPESN38-5 and SPESN38-8, demonstrating the first examples of unmodified SN38 in clear, stable, and injectable solution. Compared with irinotecan and DOX in animal models, SPESN38-5 and SPESN38-8 showed favorable pharmacokinetic values, superior antitumor efficacy against CRC and STS, and lower systemic toxicity. The successful development of SPEDOX-6, SPESN38-5, and SPESN38-8 has validated the SPE platform in drug formulation. These SPEDRUG complexes represent a new uniform macromolecular nanodrug that may be used to target low FcRn expressing cancer cells, further improving their antitumor efficacy while reducing side effect toxicities. These promising preclinical results have prompted these SPEDRUG complexes to be aggressively pursued for their clinical applications.

## Abbreviations

AUC	Area Under Curve
BW	Body weight
CPT	Camptothecin
CRC	Colorectal cancer
DOX	Doxorubicin
EPR	Enhanced permeability & retention
FcRn	Neonatal Fc receptor
GLP	Good Laboratory Practice
GMP	Good Manufacturing Practice
HSA	Human serum albumin
IHC	Immunohistochemistry
IND	Investigational New Drug
MSA	Mouse Serum Albumin
MTD	Most Tolerated Dose
NPs	Nanoparticles
NSCLC	Non-small cell lung cancer
PK	Pharmacokinetics
PO	Per Os, by mouth
SCID	Severe Combined Immunodeficiency Mouse
SPE	Single protein encapsulation
SPEDOX	Single protein encapsulated doxorubicin
SPESN38	Single protein encapsulated SN38
STS	Soft tissue sarcomas
TOPO	Topoisomerase
TV	Tumor volume
UF	Unformulated
UGT	Uridine 5-diphospho-Glucuronosyltransferase enzymes

## Supplementary Information

The online version contains supplementary material available at <https://doi.org/10.1186/s12967-023-04778-0>.

**Additional file 1: Fig. S1.** Tumor volume of different treatment groups on Day 10. SPESN38-5 by IV was more efficacious than clinical drug irinotecan, while PO route was not effective. **Fig. S2.** Tumor volume comparison on Day 21 (ending day of experiments). SPESN38-5 by IV was significantly efficacious than irinotecan. **Fig. S3.** Tumor weigh comparison of different treatment groups on ending days. The mice from the control and PO

groups had to be sacrificed on Day 10 due to the large tumor size.

**Table S1.** Summary of antitumor efficacy of irinotecan and SPESN38-5 Against HCT-116. **Table S2.** Summary of antitumor efficacy of DOX and SPESN38-8 against SK-LMS-1. **Table S3.** Summary of PK parameters for irinotecan at 200 mg/kg from literature. **Table S4.** Summary of tumor volume change for all groups on Day 10. **Table S5.** Summary of tumor volume change for all groups on Day 21. **Table S6.** Summary of tumor weight for all groups on the ending days. **Table S7.** Summary of tumor volume by day and group. **Table S8.** Summary of tumor volume change from Day 0 by different groups and days. **Table S9.** Result summary of Tukey-Kramer adjusted pairwise tests. **Table S10.** Tumor weight summary on their ending days

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Not applicable.

## Author contributions

All authors read and approved the final version of the manuscript. CY and WAC designed the study. KW, ML, XL, CY, FH and FL conducted experiments. XL, XH and FL acquired data, CY and FH analyzed data. JLC and GCW carried out the biostatistics evaluation. CY, FH and XC wrote, reviewed, and edited the manuscript.

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## Availability of data and materials

Data is available on reasonable request. All data generated or analyzed during this study are included either in this article or in the Additional file.

## Declarations

### Ethics approval and consent to participate

The animal experiments were conducted at Roswell Park Comprehensive Cancer Center Animal Facility following the animal protocol approved by the Institutional Animal Care and Use Committee (IACUC).

### Competing interests

C. J. Yu is a named inventor for patent applications regarding “Single Protein-Encapsulated Pharmaceuticals for Enhancing Therapeutic Effects” in pending and a shareholder of Sunstate Biosciences, LLC. Mengmeng Liu is a shareholder of Sunstate Biosciences, LLC. The other authors declare no conflict of interest.

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